

11-30-01

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COMMISSIONER OF PATENTS & TRADEMARKS
WASHINGTON, D.C. 20231

ATTORNEY DOCKET NO. 102123-200
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CERTIFICATION UNDER 37 C.F.R. § 1.10

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the United States Postal Service on this date November 20, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. EL662089083US to Box PATENT APPLICATION, Commissioner for Patents, P.O. Box 2327, Washington, D.C. 22202

Sheila C. Seemann
Name of Person Mailing Paper

Sheila C. Seemann
Signature of Person Mailing Paper

APPLICATION TRANSMITTAL UNDER 37 C.F.R. § 1.53

Transmitted herewith for filing under 37 C.F.R. § 1.53 is the patent application of:

Inventor(s): 1) Indulis Gruzins 2) Donald Farrell McElheney
3) Robert C. Hire 4) Jerry Douglas Necessary
5) Joseph T. Farrell

for:

POLYOLS CONTAINING CARBOXYL GROUPS
AND PRODUCTION THEREOF

1. Type of Application

☐ Original ☐ Continuation ☐ Divisional
☒ Continuation-In-Part

2. Benefit of Prior Foreign Application(s) (35 U.S.C. § 119)

☐ The new application being transmitted claims the benefit of
☐ prior foreign application serial no. _____, filed on
_____ in _____ (country); or
☐ PCT international application designating at least one country
other than the U.S. application Serial no. _____, filed on
_____.

A certified copy is ☐ enclosed or ☐ on file in the prior application.

3. Benefit of Prior U.S. Application(s) (35 U.S.C. §§ 119(e) and 120)

☒ The new application being transmitted claims the benefit of prior
copending U.S. application(s):
Serial No. 09/723,263, filed on November 27, 2000;

4. Papers Enclosed Which Are Required for Filing Under 37 C.F.R. § 1.53(b)

| | |
|------|---|
| [32] | page(s) of Specification |
| [5] | page(s) of Claims, having <u>32</u> claims, including <u>5</u> independent and 27 dependent claims |
| [1] | page(s) of Abstract |
| [0] | sheet(s) of <input type="checkbox"/> formal <input type="checkbox"/> informal Drawings |

jc821 U.S. PTO
09/996480

11/20/01

11/20/01
JC682 U.S. PTO

09/996480 + 11/20/01

5. Additional Papers Enclosed

- ☐ Preliminary Amendment
☐ Information Disclosure Statement
☐ Form PTO-1449
☐ Copies of Cited Art
☐ Other

Declaration

6. An unsigned declaration is ☒ enclosed ☐ not enclosed.

7. Assignment

☐ Enclosed is a recordation form and an assignment of the invention to

8. Small Entity Status

☐ Applicant claims small entity status.

9. Fee Calculation (37 C.F.R. § 1.16)

| CLAIMS AS FILED | | | | | |
|------------------------------------|--------------|--------------|------|----------|---------------------|
| | Number Filed | Number Extra | | Rate | Basic Fee \$ 740.00 |
| Total Claims | 32 | -20 | -11- | \$18.00 | \$ 216.00 |
| Independent claims | 5 | -3 | -2- | \$840 | \$ 168.00 |
| Multiple Dependent claims, if any: | | | | \$280.00 | 280.00 |
| Total | | | | | \$1,404.00 |
| Reduction for small entity by 50% | | | | | \$ |
| TOTAL FILING FEE | | | | | \$1,404.00 |

10. Method of Payment of Fees

☐ Check in the amount of \$

☒ Charge Account No. 23-1665 in the amount of \$ 1,404.00.

11. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any additional fees which may be required under 37 C.F.R. §§ 1.16 and 1.17, or credit any overpayment to Account No. 23-1665.

12. Correspondence

Please address all correspondence to:

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Date: November 20, 2001